

Please type a plus sign (+) inside this box



10-03-00

A

PTO/SB/05 (4/98)

Approved for use through 09/30/2000 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

09/29/00
J920 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	042390.P9019
First Inventor or Application Identifier	David Arthur Eatough
Title	MULTIPLE PROTOCOL CHECKPOINT MANAGEMENT
Express Mail Label No.	EL034437912US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
- ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- Oath or Declaration [Total Pages 5]
 - ☒ Newly executed (original copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ *Small Entity Statement filed in prior application, Status still proper and desired
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☒ Other: CHECK FOR \$1,204.00.....

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
Address	12400 Wilshire Boulevard, Seventh Floor				
City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(503) 684-6200	Fax	(503) 684-3245

Name (Print/Type)	Clive D. Menezes, Reg. No. 45,493		
Signature		Date	09/29/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Please type a plus sign (+) inside this box → +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 1999				<i>Complete if Known</i>																																																																																																																																																																																																																																	
<i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.</i>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>September 29, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>David Arthur Eatough</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group/Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>042390.P9019</td> </tr> </table>		Application Number		Filing Date	September 29, 2000	First Named Inventor	David Arthur Eatough	Examiner Name		Group/Art Unit		Attorney Docket No.	042390.P9019																																																																																																																																																																																																																				
Application Number																																																																																																																																																																																																																																					
Filing Date	September 29, 2000																																																																																																																																																																																																																																				
First Named Inventor	David Arthur Eatough																																																																																																																																																																																																																																				
Examiner Name																																																																																																																																																																																																																																					
Group/Art Unit																																																																																																																																																																																																																																					
Attorney Docket No.	042390.P9019																																																																																																																																																																																																																																				
TOTAL AMOUNT OF PAYMENT (\$) 1,204.00		<div style="display: flex; align-items: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: small; margin-right: 5px;"> JCS/eq U.S. PTO 09/29/00 19/677461 </div> </div>																																																																																																																																																																																																																																			
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)																																																																																																																																																																																																																																		
<div style="display: flex;"> <div style="flex: 1;"> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees:</p> <p>2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any over payments to:</p> </div> <div style="flex: 1; border: 1px solid black; padding: 5px; margin-top: 10px;"> Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP </div> </div> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>			<p>3. ADDITIONAL FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,210</td> <td>218</td> <td>680</td> <td>Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925</td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146</td> <td>790</td> <td>246</td> <td>395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>790</td> <td>249</td> <td>395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Other fee (specify)</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Other fee (specify)</td> <td></td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	380	216	190	Extension for response within second month		117	870	217	435	Extension for response within third month		118	1,210	218	680	Extension for response within fourth month		128	1,850	228	925	Extension for response within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))		149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))						Other fee (specify)						Other fee (specify)																																															
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																																																																																																	
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																	
115	110	215	55	Extension for response within first month																																																																																																																																																																																																																																	
116	380	216	190	Extension for response within second month																																																																																																																																																																																																																																	
117	870	217	435	Extension for response within third month																																																																																																																																																																																																																																	
118	1,210	218	680	Extension for response within fourth month																																																																																																																																																																																																																																	
128	1,850	228	925	Extension for response within fifth month																																																																																																																																																																																																																																	
119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																	
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																	
121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																	
138	1,510	138	1510	Petition to institute a public use proceeding																																																																																																																																																																																																																																	
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																	
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																	
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																	
143	430	243	215	Design issue fee																																																																																																																																																																																																																																	
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																	
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																	
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																																																
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																	
				Other fee (specify)																																																																																																																																																																																																																																	
				Other fee (specify)																																																																																																																																																																																																																																	
FEE CALCULATION			3. ADDITIONAL FEE																																																																																																																																																																																																																																		
<p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>690</td> <td>201</td> <td>345</td> <td>Utility filing fee</td> <td>\$690.00</td> </tr> <tr> <td>106</td> <td>310</td> <td>206</td> <td>155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>690</td> <td>208</td> <td>345</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$) 690.00</td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	690	201	345	Utility filing fee	\$690.00	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	690	208	345	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$) 690.00	<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>78</td> <td>202</td> <td>39</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>260</td> <td>204</td> <td>130</td> <td>Multiple Dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109</td> <td>78</td> <td>209</td> <td>39</td> <td>**Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$) 474.00</td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	103	18	203	9	Claims in excess of 20		102	78	202	39	Independent claims in excess of 3		104	260	204	130	Multiple Dependent claim, if not paid		109	78	209	39	**Reissue independent claims over original patent		110	18	210	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 474.00																																																																																																																																				
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
101	690	201	345	Utility filing fee	\$690.00																																																																																																																																																																																																																																
106	310	206	155	Design filing fee																																																																																																																																																																																																																																	
107	480	207	240	Plant filing fee																																																																																																																																																																																																																																	
108	690	208	345	Reissue filing fee																																																																																																																																																																																																																																	
114	150	214	75	Provisional filing fee																																																																																																																																																																																																																																	
SUBTOTAL (1)					(\$) 690.00																																																																																																																																																																																																																																
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																																																	
102	78	202	39	Independent claims in excess of 3																																																																																																																																																																																																																																	
104	260	204	130	Multiple Dependent claim, if not paid																																																																																																																																																																																																																																	
109	78	209	39	**Reissue independent claims over original patent																																																																																																																																																																																																																																	
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																	
SUBTOTAL (2)					(\$) 474.00																																																																																																																																																																																																																																
<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>78</td> <td>202</td> <td>39</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>260</td> <td>204</td> <td>130</td> <td>Multiple Dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109</td> <td>78</td> <td>209</td> <td>39</td> <td>**Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$) 474.00</td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	103	18	203	9	Claims in excess of 20		102	78	202	39	Independent claims in excess of 3		104	260	204	130	Multiple Dependent claim, if not paid		109	78	209	39	**Reissue independent claims over original patent		110	18	210	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 474.00	<p>3. ADDITIONAL FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,210</td> <td>218</td> <td>680</td> <td>Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925</td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146</td> <td>790</td> <td>246</td> <td>395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>790</td> <td>249</td> <td>395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Other fee (specify)</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Other fee (specify)</td> <td></td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	380	216	190	Extension for response within second month		117	870	217	435	Extension for response within third month		118	1,210	218	680	Extension for response within fourth month		128	1,850	228	925	Extension for response within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))		149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))						Other fee (specify)						Other fee (specify)	
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																																																	
102	78	202	39	Independent claims in excess of 3																																																																																																																																																																																																																																	
104	260	204	130	Multiple Dependent claim, if not paid																																																																																																																																																																																																																																	
109	78	209	39	**Reissue independent claims over original patent																																																																																																																																																																																																																																	
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																	
SUBTOTAL (2)					(\$) 474.00																																																																																																																																																																																																																																
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																																																																																																	
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																	
115	110	215	55	Extension for response within first month																																																																																																																																																																																																																																	
116	380	216	190	Extension for response within second month																																																																																																																																																																																																																																	
117	870	217	435	Extension for response within third month																																																																																																																																																																																																																																	
118	1,210	218	680	Extension for response within fourth month																																																																																																																																																																																																																																	
128	1,850	228	925	Extension for response within fifth month																																																																																																																																																																																																																																	
119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																	
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																	
121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																	
138	1,510	138	1510	Petition to institute a public use proceeding																																																																																																																																																																																																																																	
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																	
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																	
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																	
143	430	243	215	Design issue fee																																																																																																																																																																																																																																	
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																	
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																	
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																																																
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																	
				Other fee (specify)																																																																																																																																																																																																																																	
				Other fee (specify)																																																																																																																																																																																																																																	
<p>SUBMITTED BY</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Typed or Printed Name</td> <td style="width: 50%;">Clive D. Menezes</td> </tr> <tr> <td>Signature</td> <td></td> </tr> <tr> <td>Date</td> <td>09/29/00</td> </tr> </table>			Typed or Printed Name	Clive D. Menezes	Signature		Date	09/29/00	<p>Complete (if applicable)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Reg. Number</td> <td style="width: 50%;">45,493</td> </tr> <tr> <td>Deposit Account User ID</td> <td>02-2666</td> </tr> </table>			Reg. Number	45,493	Deposit Account User ID	02-2666																																																																																																																																																																																																																						
Typed or Printed Name	Clive D. Menezes																																																																																																																																																																																																																																				
Signature																																																																																																																																																																																																																																					
Date	09/29/00																																																																																																																																																																																																																																				
Reg. Number	45,493																																																																																																																																																																																																																																				
Deposit Account User ID	02-2666																																																																																																																																																																																																																																				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Trans express mail no. EL034437912US

UNITED STATES PATENT APPLICATION
FOR
MULTIPLE PROTOCOL CHECKPOINT MANAGEMENT

INVENTORS:

DAVID ARTHUR EATOUGH
TOM L. BOGART
PETER E. JOHNSON
GREGORY P. OLSEN
CHRIS D. RUSSELL

PREPARED BY:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025-1026
(503) 684-6200

EXPRESS MAIL NO. EL 034437912 US

006660-1942960

MULTIPLE PROTOCOL CHECKPOINT MANAGEMENT

FIELD OF THE INVENTION

The invention relates to data transfer. More particularly, the invention relates to data transfer techniques using multiple protocols.

5 BACKGROUND OF THE INVENTION

With the recent growth of the Internet and other networks, data transfer between computers has becoming increasingly useful for communications and other purposes. Different network protocols have been developed for data transfer in different network environments and for different purposes. In general, data can be transferred over a
10 network using reliable or non-reliable protocols. Reliable protocols are typically used for point to point communications and non-reliable protocols are typically used for data transfer to multiple recipients.

Reliable protocols are those that guarantee delivery of data packets. Transmission Control Protocol (TCP) along with Internet Protocol (IP), referred to a TCP/IP can be
15 used to reliably transmit data. Real-time Transport Protocol (RTP) can also be used with IP to provide reliable data communication. Other reliable protocols also exist.

Multicasting of network data provides a non-reliable, but widely distributed method for communicating network communication. However, when using multicasting to transmit data, delivery of all of the data is not guaranteed. Thus, multicasting is used
20 where loss of some data is not critical, for example, audio and video streams. Broadcasting can also be used for non-reliable data transport.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings in which like reference numerals refer to similar elements.

5 **Figure 1** is a block diagram of one embodiment of a computer system suitable to practice the invention.

Figure 2 is a block diagram of a remote management architecture where data can be transferred using multiple protocols.

10 **Figure 3** is a conceptual illustration of a multicast application and a HTTP application interacting with a checkpoint management service to provide technique for data transmission using multiple protocols.

Figure 4 is a flow diagram of one embodiment of data communication using multiple protocols.

DETAILED DESCRIPTION

Techniques for transmitting data across a network using multiple protocols are described. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the invention. It will
5 be apparent, however, to one skilled in the art that the invention can be practiced without these specific details. In other instances, structures and devices are shown in block diagram form in order to avoid obscuring the invention.

Reference in the specification to “one embodiment” or “an embodiment” means that a particular feature, structure, or characteristic described in connection with the
10 embodiment is included in at least one embodiment of the invention. The appearances of the phrase “in one embodiment” in various places in the specification are not necessarily all referring to the same embodiment.

Data is distributed over a network using a first network protocol, for example, a non-reliable protocol. The non-reliable protocol (e.g., multicast) is used to distribute the
15 data from a host system to multiple target systems with reduced overhead as compared to typical reliable protocols. Portions of the data that are not received by one or more of the target systems are requested and transmitted via a second network protocol (e.g., hypertext transport protocol, or HTTP). In one embodiment, the target systems maintain a checkpoint management service that determines the portions of data not received. In an
20 alternative embodiment, target systems evaluate data received to determine whether a portion of the transmitted data was not received.

For reasons of simplicity, certain network protocols are used herein to describe data distribution using multiple protocols. However, the techniques described herein can

be used with any network protocol (e.g., IP, IPX, NetBeui), any number of network sessions, and over any period of time (e.g. network sessions do not have to occur within the same hour, day, week, and so on). In the description that follows, the initial data transfer is generally described as a non-reliable data transfer and the subsequent data transfers are accomplished by reliable network protocols. However, either reliable or non-reliable network protocols can be used for the initial transfer as well as for subsequent data transfers.

Figure 1 is a block diagram of one embodiment of a computer system. The computer system illustrated in Figure 1 is intended to represent a range of computer systems. Alternative computer systems can include more, fewer and/or different components.

Computer system 100 includes bus 101 or other communication device to communicate information, and processor 102 coupled to bus 101 to process information. While computer system 100 is illustrated with a single processor, computer system 100 can include multiple processors and/or co-processors. Computer system 100 further includes random access memory (RAM) or other dynamic storage device 104 (referred to as main memory), coupled to bus 101 to store information and instructions to be executed by processor 102. Main memory 104 also can be used to store temporary variables or other intermediate information during execution of instructions by processor 102.

Computer system 100 also includes read only memory (ROM) and/or other static storage device 106 coupled to bus 101 to store static information and instructions for processor 102. Data storage device 107 is coupled to bus 101 to store information and

instructions. Data storage device 107 such as a magnetic disk or optical disc and corresponding drive can be coupled to computer system 100.

Computer system 100 can also be coupled via bus 101 to display device 121, such as a cathode ray tube (CRT) or liquid crystal display (LCD), to display information to a computer user. Alphanumeric input device 122, including alphanumeric and other keys, is typically coupled to bus 101 to communicate information and command selections to processor 102. Another type of user input device is cursor control 123, such as a mouse, a trackball, or cursor direction keys to communicate direction information and command selections to processor 102 and to control cursor movement on display 121.

Computer system 100 further includes network interface 130 to provide access to a network, such as a local area network. Instructions are provided to memory from a storage device, such as magnetic disk, a read-only memory (ROM) integrated circuit, CD-ROM, DVD, via a remote connection (e.g., over a network via network interface 130) that is either wired or wireless, etc. In alternative embodiments, hard-wired circuitry can be used in place of or in combination with software instructions to implement the present invention. Thus, the present invention is not limited to any specific combination of hardware circuitry and software instructions.

A machine-readable medium includes any mechanism that provides (i.e., stores and/or transmits) information in a form readable by a machine (e.g., a computer). For example, a machine-readable medium includes read only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other form of propagated signals (e.g., carrier waves, infrared signals, digital signals, etc.); etc.

As described in greater detail below, computer systems can be used to transmit and receive network data using multiple protocols. Other types of electronic systems can be used to transmit and/or receive data over a network. For example, set top boxes, personal digital assistants, cellular telephones, pager can be used to transmit and/or receive data.

Figure 2 is a block diagram of a remote management architecture where data can be transferred using multiple protocols. Network 200 provides an interconnection between multiple electronic systems. Network 200 can be any type of one or more networks. Network 200 can communicate data in any manner known in the art. In one embodiment, network 200 represents the Internet and a local area network (LAN). In an alternate embodiment, network 200 represents a telephone network and a LAN. In another alternate embodiment, network 200 represents one or more LANs.

Host system 210 is a computer system or other electronic system that provides data to one or more target systems over network 200. In one embodiment, host system 210 provides remote management, software upgrades/modifications, or other remote services to target systems 220, 225, 230 and 235. Any number of target systems can be supported.

Use of multiple protocols can be used to transmit data from host system 210 to target systems 220, 225, 230 and 235. The data can represent any type of machine readable data, for example, application programs, system files, or text files. In one embodiment, host system 210 transmits data to target systems 220, 225, 230 and 235 via a multicasting protocol.

The table below compares the efficiency of transferring 500 kbytes of data using HTTP alone and a multicast/HTTP combination. The number of bytes shown represents the amount of raw data the is to be transferred and does not consider the overhead of the various protocol headers. For the purposes of this table, it is assumed that 80% of the

5 multicast data is received.

Number of Targets	HTTP Alone	Multicast/HTTP	Bandwidth Savings
2 Computers	1,000 kbytes	600 kbytes	40.0%
5 Computers	2,500 kbytes	900 kbytes	64.0%
10 Computers	5,000 kbytes	1,400 kbytes	72.0%
50 Computers	25,000 kbytes	5,400 kbytes	78.4%

Thus, combining the efficiency of a multicast or broadcast protocol with the reliability of a point to point protocol can recognized significant saving in the total bandwidth used for transferring data.

Data transfer can be completed using the second network protocol soon after

10 completion of the transfer using the first network protocol or at some later time. For example, before leaving on a business trip, a laptop user can begin downloading a file from a server using an IPX protocol. The user can leave at any time during the download without waiting for the download to complete. When the user reaches his/her destination, missing data can be downloaded using a second network protocol, for example, multicast.

15 The second download session can, but is not required to, complete the file being downloaded. During a third download session the user can continue downloading the file using IPX, multicast, or any other network protocol.

Figure 3 is a conceptual illustration of a multicast application and a HTTP application interacting with a checkpoint management service to provide technique for

20 data transmission using multiple protocols. Multicast (or other non-reliable transport

protocol) application 300 provides data to multiple target systems in any manner known in the art.

Checkpoint management service 310 tracks data received by the target systems. In one embodiment, a checkpoint management service exists for each target system. The
5 checkpoint management services can be maintained within a memory by the respective target systems, or the checkpoint management services can be maintained in another way. Checkpoint management service 310 can be an active or a passive service. An active checkpoint management service monitors data received by a target system to track data received by the target system. A passive checkpoint management service is updated
10 periodically (e.g., after a disk write operation) to reflect the data received by the target system.

If checkpoint management service 310 indicates that a portion of the transmitted data was not received by the target system, HTTP (or other non-reliable transport protocol) application 320 is used to retrieve the missing data. The reliable transport
15 protocol is used to ensure that the missing data is received by target system as a result of the second transmission.

Checkpoint management service 310 can be either active or passive in the process of retrieving the missing data. Whether checkpoint management service 310 is active or passive in the process of retrieving the missing data is independent of whether checkpoint
20 management service 310 is active or passive in tracking data received by a target system. An active checkpoint management service causes HTTP application 320 to retrieve the missing data at the conclusion of the multicast transmission. A passive checkpoint

management service is checked by HTTP application 320 to determine whether any data was not received as a result of the multicast transmission.

Checkpoint management service 310 can store, or track the storage of non-contiguous pieces of the file being received. Thus, checkpoint management service 310 can receive whatever data is available via a first network protocol, whether contiguous or not, and request the missing blocks of data at a later time using a second network protocol.

Figure 4 is a flow diagram of one embodiment of data communication using multiple protocols. Data is distributed using a non-reliable protocol at 410. For example, a host computer at a central office can multicast data to multiple target computers at a remote office using a non-reliable protocol. As another example, data can be transmitted from a host computer in a central office to a single target computer at a remote office using a reliable protocol. The single target computer at the remote office can then act as a host computer to distribute the data to multiple target computers at the remote office. Other distribution techniques can be implemented using a non-reliable protocol for at least a portion of the distribution.

Target systems determine whether the full file was received via the non-reliable distribution at 420. Missing data, if any, is determined at 430. In one embodiment, the target systems are provided with a file size. After the non-reliable transmission is stopped, the target system can compare the amount of data against the file size to determine whether the complete file was received. In an alternate embodiment, each packet that is received by the target system is logged to track the specific data that is received. The missing packets can be determined based on the sequence of the received

packets. Other techniques for determining whether a full file is received and what data is missing can be used.

The missing data is requested using a reliable protocol at 440. In one embodiment, each target system individually requests the missing data from the host system. In an alternate embodiment, multiple target systems can collectively request missing data from the host system to further reduce the bandwidth consumed by data distribution. The target systems assemble complete files 450. The complete files can then be used by the target systems for their intended purposes.

In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident that various modifications and changes can be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.

CLAIMS

What is claimed is:

- 1 1. A method comprising:
2 transmitting data over a network using a first network protocol from a host
3 electronic system to one or more target electronic systems;
4 determining data not received by at least one of the target electronic systems; and
5 requesting, from the host electronic system, the data not received by at least one
6 of the target electronic systems using a second network protocol.
- 1 2. The method of claim 1 wherein the first network protocol is a non-reliable
2 network protocol.
- 1 3. The method of claim 2 wherein the non-reliable network protocol
2 comprises one of a broadcast protocol and a multicast protocol.
- 1 4. The method of claim 1 wherein the second network protocol is a reliable
2 network protocol.
- 1 5. The method of claim 1, wherein determining data not received by at least
2 one of the target electronic systems further comprises logging, with a checkpoint
3 management service, packets of data received by the target electronic systems.

1 11. A computer data signal embodied in a data communications medium
2 shared among a plurality of network devices comprising sequences of instructions that,
3 when executed, cause one or more electronic systems to:
4 transmit data over a network using a first network protocol from a host electronic
5 system to one or more target electronic systems;
6 determine data not received by at least one of the target electronic systems; and
7 request, from the host electronic system, the data not received by at least one of
8 the target electronic systems using a second network protocol.

1 12. The computer data signal of claim 11 wherein the first network protocol is
2 a non-reliable network protocol.

1 13. The computer data signal of claim 11 wherein the non-reliable network
2 protocol comprises one of a broadcast protocol and a multicast protocol.

1 14. The computer data signal of claim 11, wherein the sequences of
2 instructions that cause the one or more electronic systems to determine data not received
3 by at least one of the target electronic systems further comprise sequences of instructions
4 that, when executed, cause the one or more electronic systems to log, with a checkpoint
5 management service, packets of data received by the target electronic systems.

1 15. The article of claim 11 wherein the second network protocol is a reliable
2 network protocol.

1 16. A method comprising:
2 transmitting a predetermined set of data using a first network protocol to multiple
3 target systems;
4 receiving one or more requests from at least one target system for subsets of data
5 from the predetermined set of data;
6 transmitting the subsets of data to at least one target system using a second
7 network protocol.

1 17. The method of claim 16 wherein transmitting a predetermined set of data
2 using a first network protocol to multiple target systems comprises logging transmitted
3 packets of data with a checkpoint management service for one or more of the target
4 systems.

1 18. The method of claim 16 wherein the first network protocol comprises a
2 non-reliable network protocol.

1 19. The computer data signal of claim 16 wherein the second network
2 protocol comprises a reliable network protocol.

1 20. An article comprising a machine readable medium having stored thereon
2 sequences of instructions that, when executed, cause one or more electronic systems to:

3 transmit a predetermined set of data using a first network protocol to multiple
4 target systems;
5 receive one or more requests from at least one target system for subsets of data
6 from the predetermined set of data;
7 transmit the subsets of data using a reliable protocol to the at least one target
8 system using a second network protocol.

1 21. The article of claim 20 wherein the sequences of instructions that cause
2 the one or more electronic systems to transmit a predetermined set of data using a first
3 network protocol to multiple target systems comprise sequences of instructions that,
4 when executed, cause the one or more electronic systems to log transmitted packets of
5 data with a checkpoint management service for one or more of the target systems.

1 22. The article of claim 20 wherein the first network protocol comprises a
2 non-reliable network protocol.

1 23. The article of claim 20 wherein the second network protocol comprises a
2 reliable network protocol.

1 24. A method comprising:
2 receiving at least a portion of a predetermined set of data from a host system
3 using a first network protocol;

4 generating one or more requests from for subsets of data from the predetermined
5 set of data;
6 receiving the subsets of data from the host system using a second network
7 protocol.

1 25. The method of claim 24 wherein the first network protocol comprises a
2 non-reliable network protocol.

1 26. The method of claim 24 wherein the second network protocol comprises a
2 reliable protocol.

1 27. An article comprising a machine readable medium having stored thereon
2 sequences of instructions that, when executed, cause one or more electronic systems to:
3 receive at least a portion of a predetermined set of data from a host system using a
4 first network protocol;
5 generate one or more requests from for subsets of data from the predetermined set
6 of data;
7 receive the subsets of data from the host system using a second network protocol.

1 28. The article of claim 27 wherein the first network protocol comprises a
2 non-reliable network protocol.

ABSTRACT

Data is distributed over a network using a first network protocol, for example, a non-reliable protocol. The non-reliable protocol (e.g., multicast) is used to distribute the data from a host system to multiple target systems with reduced overhead as compared to
5 typical reliable protocols. Portions of the data that are not received by one or more of the target systems are requested and transmitted via a second network protocol (e.g., hypertext transport protocol, or HTTP). In one embodiment, the target systems maintain a checkpoint management service that determines the portions of data not received. In an alternative embodiment, target systems evaluate data received to determine whether a
10 portion of the transmitted data was not received.

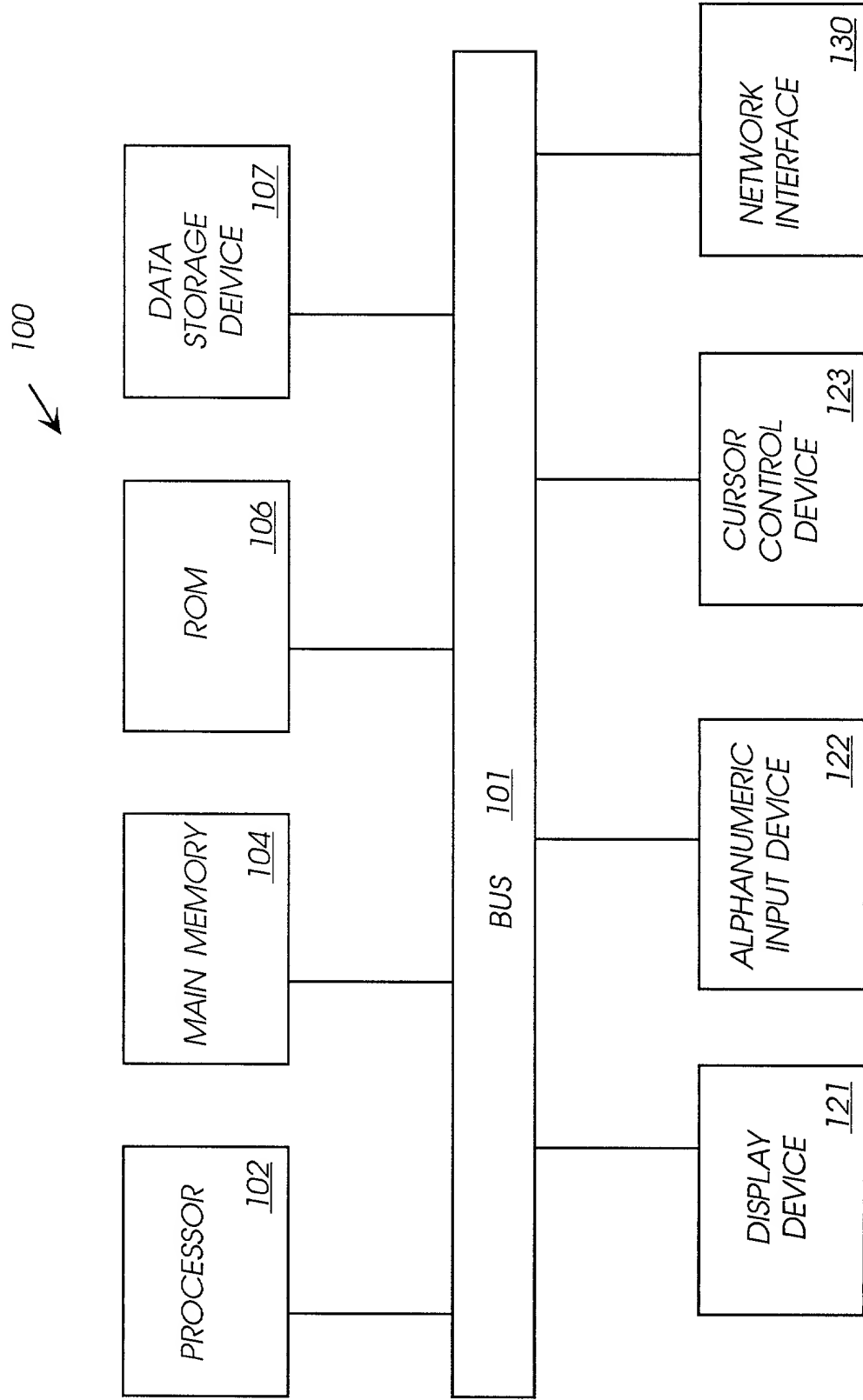


FIG. 1

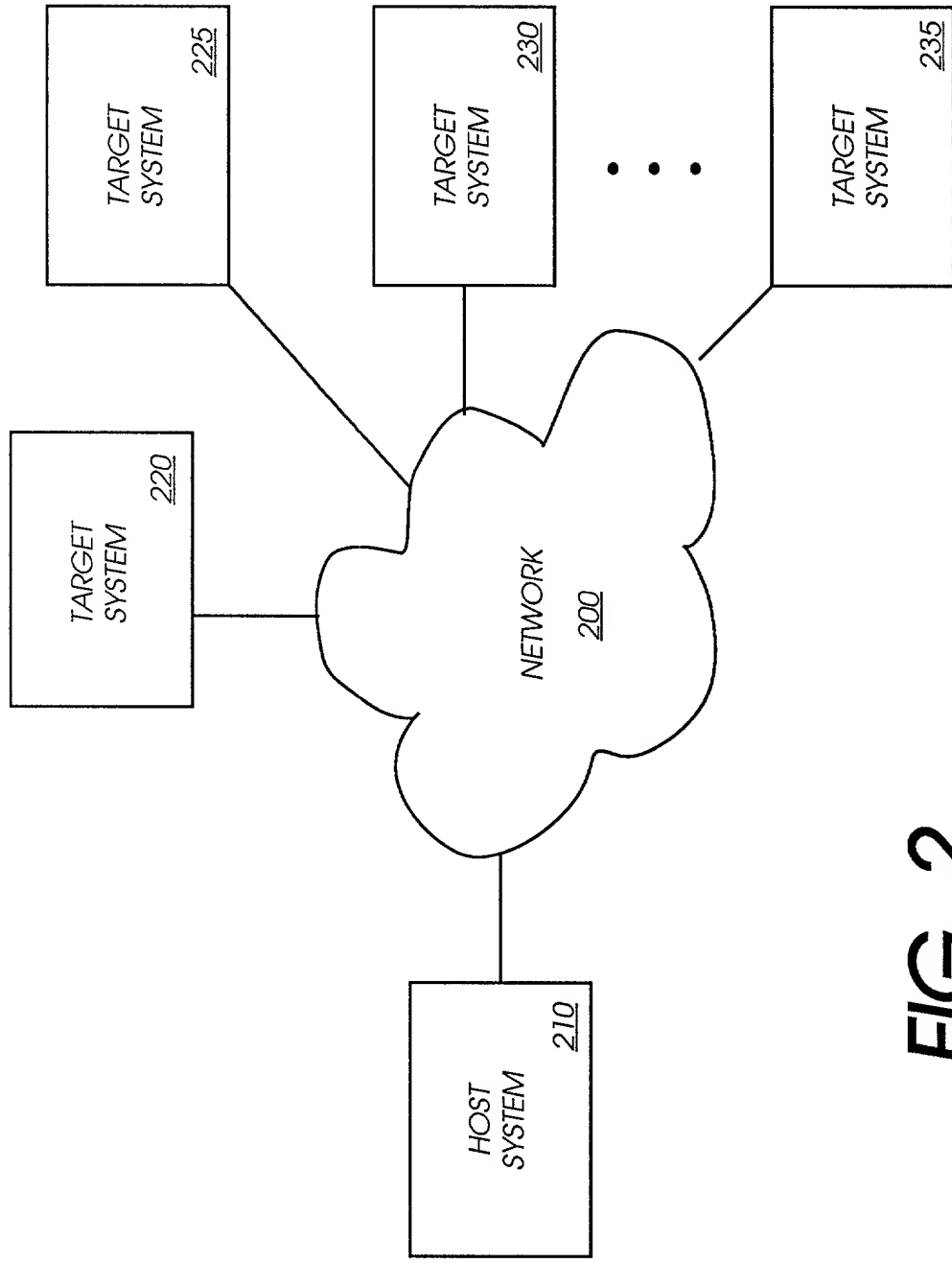


FIG. 2

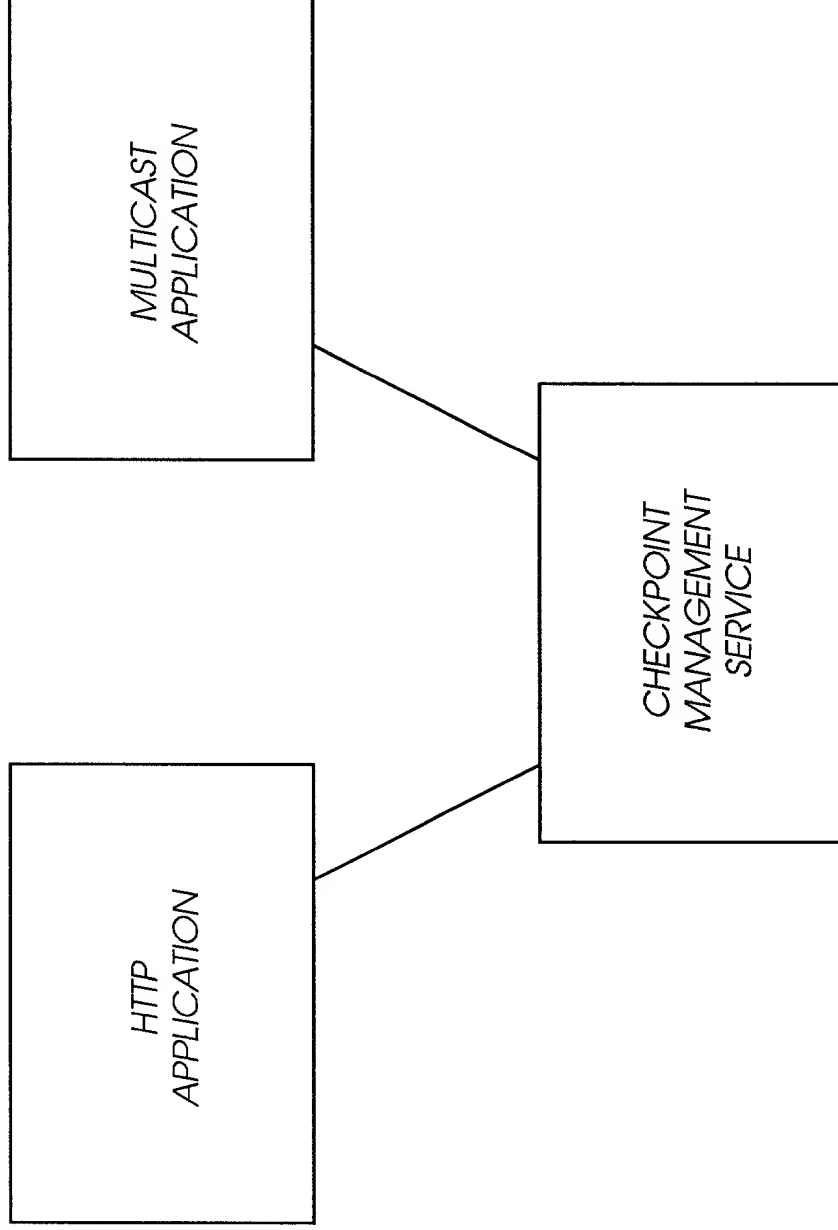


FIG. 3

END

SEP 29 '00 08:00AM

Attorney's Docket No.: 042390.P9019

PATENT

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION
(FOR INTEL CORPORATION PATENT APPLICATIONS)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MULTIPLE PROTOCOL CHECKPOINT MANAGEMENT

the specification of which

X is attached hereto.
_____ was filed on _____ as
United States Application Number _____
or PCT International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

INTEL CORPORATION

Rev. 02/07/00 (D3 INTEL)

SEP 29 '00 08:00AM

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

Application Number	Filing Date
_____	_____
Application Number	Filing Date
_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status -- patented, pending, abandoned
_____	_____	_____
Application Number	Filing Date	Status -- patented, pending, abandoned
_____	_____	_____

SEP 29 '00 08:00AM

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Paul A. Mendonsa, BLAKELY, SOKOLOFF, TAYLOR &
(Name of Attorney or Agent)
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct
telephone calls to Paul A. Mendonsa, (503) 684-6200.
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Tom L. Bogart
Inventor's Signature Tom Bogart Date 9-28-00
Residence Lehi, Utah USA Citizenship USA
(City, State) (Country)
Post Office Address 815 N. 1200 E.
Lehi, UT 84043

Full Name of Second/Joint Inventor David Arthur Eatough
Inventor's Signature [Signature] Date 9-28-00
Residence Provo, Utah Citizenship USA
(City, State) (Country)
Post Office Address 1252 N Uinta Dr
Provo, UT 84604

Full Name of Third/Joint Inventor Peter E. Johnson
Inventor's Signature [Signature] Date 9-28-2000
Residence Lehi, Utah Citizenship USA
(City, State) (Country)
Post Office Address 276 East 300 South
Lehi, UT 84043

SEP 29 '00 08:00AM

Full Name of Fourth/Joint Inventor Gregory P. Olsen

Inventor's Signature Greg P. Al Date 9-28-2000

Residence London, UT Citizenship USA
(City, State) (Country)

Post Office Address 311 E. 750 N.
Lindon, UT 84042

Full Name of Fifth/Joint Inventor Chris D. Russell

Inventor's Signature ca v m Date 9-28-2000

Residence American Fork, UT Citizenship USA
(City, State) (Country)

Post Office Address 1203 North 100 East
American Fork, UT 84003

Full Name of Sixth/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ (City, State) Citizenship _____ (Country)

Post Office Address _____

Full Name of Seventh/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ (City, State) Citizenship _____ (Country)

Post Office Address _____

SEP 29 '00 08:00AM

APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. 44,587; Andrew C. Chen, Reg. No. 43,544; Thomas M. Coester, Reg. No. 39,637; Alin Corie, Reg. No. P46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, under 37 C.F.R. § 10.9(b); Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Sanjeet Dutta, Reg. No. P46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Paramita Ghosh, Reg. No. 42,806; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Eric T. King, Reg. No. 44,188; Erica W. Kuo, Reg. No. 42,775; Kurt P. Leyendecker, Reg. No. 42,799; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. No. 42,004; Lisa A. Norris, Reg. No. 44,976; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Tinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Daniel E. Ovanezian, Reg. No. 41,236; Marina Portnova, Reg. No. P45,750; Babak Redjaian, Reg. No. 42,096; William F. Ryann, Reg. No. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. P46,322; Thomas C. Webster, Reg. No. P46,154; Charles T. J. Weigell, Reg. No. 43,398; Kirk D. Williams, Reg. No. 42,229; James M. Wu, Reg. No. 45,241; Steven D. Yates, Reg. No. 42,242; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Justin M. Dillon, Reg. No. 42,486; my patent agent, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and Alan K. Aldous, Reg. No. 31,905; Robert D. Anderson, Reg. No. 33,826; Joseph R. Bond, Reg. No. 36,458; Richard C. Calderwood, Reg. No. 35,468; Jeffrey S. Draeger, Reg. No. 41,000; Cynthia Thomas Faatz, Reg. No. 39,973; Sean Fitzgerald, Reg. No. 32,027; John N. Greaves, Reg. No. 40,362; Seth Z. Kalson, Reg. No. 40,670; David J. Kaplan, Reg. No. 41,105; Charles A. Mirho, Reg. No. 41,199; Leo V. Novakoski, Reg. No. 37,198; Naomi Obinata, Reg. No. 39,320; Thomas C. Reynolds, Reg. No. 32,488; Kenneth M. Seddon, Reg. No. 43,105; Mark Seeley, Reg. No. 32,299; Steven P. Skabrat, Reg. No. 36,279; Howard A. Skaist, Reg. No. 36,008; Steven C. Stewart, Reg. No. 33,555; Raymond J. Werner, Reg. No. 34,752; Robert G. Winkle, Reg. No. 37,474; and Charles K. Young, Reg. No. 39,435; my patent attorneys, and Thomas Raleigh Lane, Reg. No. 42,781; Calvin E. Wells; Reg. No. P43,256, Peter Lam, Reg. No. 44,855; and Gene I. Su, Reg. No. 45,140; my patent agents, of INTEL CORPORATION; and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.